

FORM PTO-1390
(REV 11-98)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

CU-1991 TJK

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/381,040

INTERNATIONAL APPLICATION NO.
PCT/GB98/00661INTERNATIONAL FILING DATE
03 March 1998PRIORITY DATE CLAIMED
03 March 1997TITLE OF INVENTION CELLULAR COMMUNICATION SYSTEM WITH MOBILE STATIONS
ACTING AS RELAY STATIONS

APPLICANT(S) FOR DO/EO/US

James David LARSEN et al

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - *Verified Small Entity Statement & Request for Refund
 - *Appointment of Associate Attorney

10/16/1999 PVOLPE 00000222 09381040

01 Fee:254

65.00 OP

Express Mail Label No. EL278278720US

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$970.00International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO. \$840.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS** PTO USE ONLYSurcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☒ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	- 20 =		X \$18.00
Independent claims	- 3 =		X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00

\$

\$

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 130.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 65.00

SUBTOTAL =

\$ 65.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

+

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

40.00

+

TOTAL FEES ENCLOSED =

\$ 105.00

Amount to be:

refunded

charged

\$

\$

a. ☒ A check in the amount of \$ 105.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 12-0400. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Ladas & Parry
224 South Michigan Avenue
Chicago, Illinois 60604
(312) 427-1300

SIGNATURE:

Timothy J. Keefer

NAME

35567

REGISTRATION NUMBER

October 12, 1999

522 Rec'd PCT/PTO 1 2 OCT 1999

The Patent & Trademark Office acknowledges and has stamped hereon the date of receipt of the items checked below:

- | | |
|--|---|
| <input type="checkbox"/> New pat./design/PCT appln. | <input checked="" type="checkbox"/> Assignment & Recordal Cover Sheet |
| <input checked="" type="checkbox"/> Transmittal letter 2 pgs | <input checked="" type="checkbox"/> Small Entity Statement |
| <input type="checkbox"/> _____ pages spec./abstract | <input type="checkbox"/> CC of priority document |
| <input type="checkbox"/> _____ pages of _____ claims | <input type="checkbox"/> Amendment/Response |
| <input type="checkbox"/> _____ sheets of drawings | <input checked="" type="checkbox"/> Fee \$105 |
| <input checked="" type="checkbox"/> Declaration/Power | <input checked="" type="checkbox"/> Other Request for Refund; |
| | Appt. Assoc. Atty. |

APPLICANT: James David LARSEN et al

SERIAL NO. 09/381,040

FILED: _____

TITLE: CELLULAR COMMUNICATION SYSTEM WITH
MOBILE STATIONS ACTING AS RELAY STATIONS
US Completion of PCT/GB98/000661

DOCKET: CU-1991 DATE: 10/12/99

RECEIVED
DEC - 8 1999
TECH CENTER 2700

#3
DOCKET: CU-1991 TJK

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT: James David LARSEN et al)
SERIAL NO: 09/381,040)
TITLE: CELLULAR COMMUNICATION SYSTEM WITH)
MOBILE STATIONS ACTING AS RELAY STATIONS)
COMPLETION OF PCT/GB98/00661 filed 03 March 1998)

The Assistant Commissioner for Patents
Washington, D.C. 20231

**SUBMISSION OF VERIFIED SMALL ENTITY STATEMENT
& REQUEST FOR REFUND UNDER 37 CFR 1.28(a)**

Dear Sir:


Enclosed is a Verified Statement Claiming Small Entity Status in connection
with the above-identified application.

This request for refund is being made within two months of the date of
payment of the first fee paid in this application, namely the filing fee on September
2, 1999.

Please refund one-half of said fee, namely \$429 and credit the same to our
Deposit Account No. 12-0400. A duplicate copy of this request is attached.

Respectfully submitted,

10/12/99
Date
uspct/15



Attorney for Applicant

Timothy J. Keefer, Reg. 35567
c/o Ladas & Parry
224 South Michigan Avenue
Chicago, Illinois 60604
(312) 427-1300